

## PART B - FEE(S) TRANSMITTAL

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**P.O. Box 1450**  
**Alexandria, Virginia 22313-1450**  
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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

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| (Depositor's name) |
| (Signature)        |
| (Date)             |

000466 7590 08/07/2006

YOUNG & THOMPSON  
 745 SOUTH 23RD STREET  
 2ND FLOOR  
 ARLINGTON, VA 22202

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
|-----------------|-------------|----------------------|---------------------|------------------|

09/973,795

10/11/2001

Kazuya Ono

8019-1019-A319-1

7244

TITLE OF INVENTION: SERIAL COMMUNICATION DEVICE AND METHOD OF CARRYING OUT SERIAL COMMUNICATION

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|-------------|--------------|---------------|---------------------|----------------------|------------------|----------|
|-------------|--------------|---------------|---------------------|----------------------|------------------|----------|

nonprovisional

NO

\$1400

\$300

\$0

\$1700

11/07/2006

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|----------|----------|----------------|
|----------|----------|----------------|

MASKULINSKI, MICHAEL C

2113

714-043000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 YOUNG & THOMPSON

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

NEC CORPORATION

(B) RESIDENCE: (CITY and STATE OR COUNTRY) 00000133 09973795

TOKYO, JAPAN 01 FC:1501

1400.00 OP

02 FC:1504

300.00 OP

03 FC:0001

3.00 OP

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

☒ Issue Fee

☒ Publication Fee (No small entity discount permitted)

☒ Advance Order - # of Copies 1

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☒ A check is enclosed.

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☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 25-0120 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

(if necessary)

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

*Benoit Castel*

Date October 27, 2006

Typed or printed name

Benoit CASTEL #35,041

Registration No. #35,041

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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(703) 521-2297



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Date: Friday, October 27, 2006  
Mail Stop Issue Fee  
(PTOL-85B)

| Name/Due Date           | Docket No.  | Serial No. | Fee Paid    |
|-------------------------|-------------|------------|-------------|
| SHIMAMURA 11/3/2006     | 8007-1062   | 10/492,419 | 1400.00     |
|                         |             | 10/492,419 | 300.00      |
|                         |             | 10/492,419 | 9.00        |
| ONO 11/7/2006           | 8019-1019   | 09/973,795 | 1400.00     |
|                         |             | 09/973,795 | 300.00      |
|                         |             | 09/973,795 | 3.00        |
| PUGNET 11/7/2006        | 0507-1049   | 10/411,239 | 1400.00     |
|                         |             | 10/411,239 | 300.00      |
| BARAKAT 11/8/2006       | 2520-1026   | 10/450,955 | 700.00      |
|                         |             | 10/450,955 | 300.00      |
| BRANDSMA 11/8/2006      | 2002-1011-1 | 10/737,790 | 1400.00     |
|                         |             | 10/737,790 | 300.00      |
| DESPLATS 11/9/2006      | 0512-1140   | 10/312,632 | 1400.00     |
|                         |             | 10/312,632 | 300.00      |
|                         |             | 10/312,632 | 6.00        |
| ZUCCHETTI<br>11/13/2006 | 2518-1026   | 10/483,288 | 700.00      |
|                         |             | 10/483,288 | 300.00      |
| PEROTTI 11/15/2006      | 2512-1169   | 10/172,145 | 700.00      |
|                         |             | 10/172,145 | 300.00      |
| CERTAIN 11/24/2006      | 0595-1033   | 11/147,211 | 1400.00     |
|                         |             | 11/147,211 | 300.00      |
| Total                   |             |            | \$13,218.00 |